

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/738,612	12/15/2000	Peter J. Costa	MDS-020

021323
TESTA, HURWITZ & THIBEAULT, LLP
HIGH STREET TOWER
125 HIGH STREET
BOSTON, MA 02110

CONFIRMATION NO. 2198

FORMALITIES LETTER



OC000000005801035

Date Mailed: 02/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

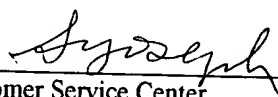
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/27/2001 CCHAU1 00000021 09738612

01 FC:205

65.00 0P



TRANSMITTAL FORM

SECRET

Application Serial Number	09/738,612
Filing Date	December 15, 2000
First Named Inventor	Costa
Group Art Unit	2633
Examiner Name	Not Yet Assigned
Attorney Docket No.	MDS-020
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

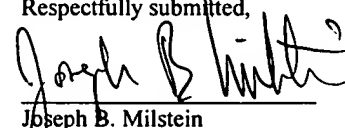
ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <input checked="" type="checkbox"/> Response to Notice to File Missing Parts (1 Page)
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CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Joseph B. Milstein
Atty/Agent for Applicant(s)
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110

Date: March 21, 2001
Reg. No. 42,897
Tel. No.: (617) 248-7
Fax No.: (617) 248-7100



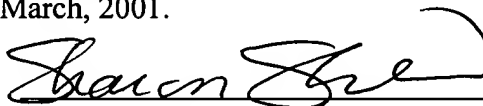
PATENT
Attorney Docket No. MDS-020
(6219/6)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Costa et al.
SERIAL NO.: 09/738,612 GROUP NO.: 2633
FILING DATE: December 15, 2000 EXAMINER: Not yet assigned.
TITLE: System for Normalizing Spectra

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on this 21st day of March, 2001.


Sharon Shaw

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are:

- (1) Transmittal Form (1 page);
- (2) Fee Transmittal (1 page);
- (3) Check in the amount of \$65.00;
- (4) Executed Declaration and Power of Attorney (3 pages);
- (5) Response to Notice to File Missing Parts (1 page);
- (6) Copy of Notice to File Missing Parts of Application (1 page); and
- (7) a return receipt postcard.

MILSTEIN\6219\6.2053252_1



PATENT

Attorney Docket No. MDS-020
(6219/6)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Costa et al.
SERIAL NO.: 09/738,612 GROUP NO.: 2633
FILING DATE: December 15, 2000 EXAMINER: Not yet assigned
TITLE: System for Normalizing Spectra

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts mailed February 26, 2001, enclosed is an executed Declaration and Power of Attorney. The amount of \$65.00 is enclosed for payment of the surcharge for a small entity in compliance with 37 C.F.R. 1.27.

Please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter.

Respectfully submitted,

Joseph B. Milstein
Attorney/Agent for Applicants
Testa, Hurwitz, & Thibeault
High Street Tower
125 High Street
Boston, Massachusetts 02110

Date: March 21, 2001
Reg. No. 42,897

Tel. No. (617) 248-7695
Fax: (617) 248-7100

OFFICE OF THE PATENT TRANSMITTAL **FY 2001**

MAR 23 2001

Complete if Known

Application Serial Number	09/738,612
Filing Date	December 15, 2000
First Named Inventor	Costa
Group Art Unit	2633
Examiner Name	Not Yet Assigned
Attorney Docket No.	MDS-020 (6219/6)

METHOD OF PAYMENT

- ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
- ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
- ☒ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity Fee (\$)	Fee Description	Fee Paid
710	Utility filing fee	
320	Design filing fee	
150	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
Total Claims	- =	x \$ 18.00 =	0.00

Independent Claims	- =	x \$ 80.00 =
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☐ Multiple Dependent Claim(s), if any \$270.00 =

TOTAL: 0.00
 SMALL ENTITY DISCOUNT: 0.00
 SUBTOTAL (1) (\$) 0.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total	- =	x \$ 18.00 =
Indep.	- =	x \$ 80.00 =
<input type="checkbox"/> First Presentation of Multiple Dep. Claim		+ \$270.00 =

TOTAL: (\$)
 SMALL ENTITY DISCOUNT: (\$)
 SUBTOTAL (2) (\$) 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	65.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
180	180	Submission of Information Disclosure Statement	
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (Specify) _____

Other fee (Specify) _____

SUBTOTAL (3) (\$ 65.00)

SUBTOTAL (1) 0.00
 SUBTOTAL (2) 0.00
 SUBTOTAL (3) 65.00

TOTAL (\$ 65.00)

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Direct all correspondence to:

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 Testa, Hurwitz & Thibault, LLP
 High Street Tower-125 High Street
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Date: March 21, 2001
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 Tel. No.: (617) 248-7695
 Fax No.: (617) 248-7100

Respectfully submitted,

Joseph B. Milstein
 Joseph B. Milstein
 Attorney for the Applicants
 Testa, Hurwitz & Thibault, LLP
 High Street Tower-125 High Street
 Boston, MA 02110